Attorney Docket: 201818-0315425

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of: Confirmation Number: 5250

SPIRGEL et al.

Application No.: 10/680,589 Group Art Unit: 3692

Filed: October 6, 2003 Examiner: FIELDS, Benjamin S.

Title: SYSTEMS AND METHODS FOR SECURITIZING A COMMODITY

AMENDMENT SUBMITTED WITH RCE UNDER RULE 1.114

MAIL STOP RCE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the final Office Action dated October 29, 2010 and the Notice of Appeal filed 29 April 2011, the due date for response having been extended until September 29, 2011 by the accompanying Petition for 3-Month Extension of Time and Request for Continued Examination (RCE), the following amendments and remarks are submitted in connection with the above-identified application as follows:

Amendments to the Claims begin on page 2; and

Remarks/Arguments begin on page 6.

Deposit Account Authorization for any fees due with this Amendment has been separately provided.